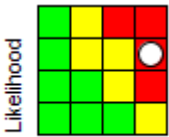
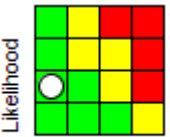
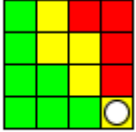



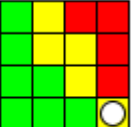

Appendix 1 - Community and Children's Services Department - Risk Register Summary

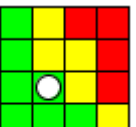
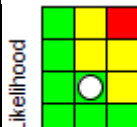


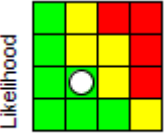
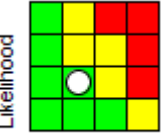
Risk Traffic Light: Red 1 Amber 2 Green 2

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score		Risk Owner	Risk update	Target Risk Score		Target date	Risk Trend
DCCS PE 002 Failure to deliver expansion of Sir John Cass Foundation Primary School to 2 form entry in September 2016	Cause Expansion not delivered Event Building project not completed Effect Lack of first choice school places for City children	 Likelihood Impact	24	Ade Adetosoye	Attempts to achieve the target are ongoing.	 Likelihood Impact	2	18-Aug-2015	↑

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score		Risk Owner	Risk update	Target Risk Score		Target date	Risk Trend
CR17 Safeguarding	<p>Cause: Not providing appropriate training to staff, not providing effective management and supervision, poor case management</p> <p>Event: Failure to deliver actions under the City of London' safeguarding policy. Social workers and other staff not taking appropriate action if notified of a safeguarding issue</p> <p>Effect: Physical or mental harm suffered by a child or adult at risk, damage to the City of London's reputation, possible legal action, investigation by CQC and or Ofsted</p>	 <p>Likelihood</p> <p>Impact</p>	8	Ade Adetosoye	Work is ongoing to raise awareness of safeguarding, through e-learning, briefing sessions and working with partners. Good progress has been made on implementing the actions to mitigate this risk.	 <p>Likelihood</p> <p>Impact</p>	8	31-Mar-2016	↔

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score	Risk Owner	Risk update	Target Risk Score	Target date	Risk Trend		
DCCS 001 Departmental emergency response	Cause Residents and/ or city workers being unsupported in a major emergency Event A major emergency being declared Effect Evacuated residents or city workers have nowhere to go following an incident, adverse media coverage.	 Likelihood Impact	8	Neal Hounsell	The work of the Humanitarian Assistance Working party is ongoing. A review of humanitarian assistance plans is underway and the revised plan will be discussed at the next meeting in September	 Likelihood Impact	8	31-Mar-2016	↔

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score	Risk Owner	Risk update	Target Risk Score	Target date	Risk Trend		
DCCS CP 001 Financial Loss / Possible reduction in budgets	Cause Financial loss / reduction in budgets Event Internal Audit recommendations not implemented effectively Effect Implications for departmental budget, HR action if staff implicated.	 Likelihood Impact	4	Neal Hounsell	Audit reports are being received and reviewed. Timescales for the implementation of final recommendations are being agreed.	 Likelihood Impact	4	31-Mar-2016	↔

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score		Risk Owner	Risk update	Target Risk Score		Target date	Risk Trend
DCCS HS 001 Health and Safety procedures	<p>Cause: Failure to meet Health and Safety regulations and City of London procedures within the department and on the properties and estates managed by the Housing Division</p> <p>Event: Accident or fire in property or estates managed DCCS leading to harm / injury to staff member, resident or visitor</p> <p>Effect: Injury to person/s on property or estates managed by DCCS, possible adverse media coverage, external investigation into incident and potential claims for compensation.</p>	 <p>Likelihood</p> <p>Impact</p>	4	David Padfield; Karen Tarbox	A new Health and Safety Manager has been appointed and a list of priorities for this 2015/16 is being developed.	 <p>Likelihood</p> <p>Impact</p>	4	31-Mar-2016	↔